

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: SMC Global Securities Limited	
2.	Scrip Code/Name of Scrip/Class of Security:	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :07/01/2021

NSDL : 31/12/2020

CDSL :31/12/2020



SMC Global Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	16	70504685	0	0	70504685	62.3194	70504685	0	70504685	62.3194	0	62.3194	0	0.0000	11313445	16.046300	70504685
(B)	Public	1357	42629765	0	0	42629765	37.6806	42629765	0	42629765	37.6806	0	37.6806	0	0.0000	NA	NA	34050435
(C)	Non Promoter - Non Public			0	0				0			0		0	0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	1373	113134450	0	0	113134450	100.0000	113134450	0	113134450	100.0000	0	100.0000	0	0.0000	11313445	10.000000	104555120

GENERATED ON :07/01/2021

NSDL : 31/12/2020

CDSL :31/12/2020

GENERATED ON :07/01/2021

NSDL : 31/12/2020

CDSL :31/12/2020



SMC Global Securities Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total							
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
1	Indian																
(a)	Individuals / Hindu Undivided Family	12	40718670	0	0	40718670	35.9914	40718670	0	40718670	35.9914	0	0.0000	5817585	14.2873	40718670	
	Subhash Chand Aggarwal	1	10095500	0	0	10095500	8.9235	10095500	0	10095500	8.9235	0	0.0000	2908793	28.8128	10095500	
	Mahesh C Gupta	1	9248500	0	0	9248500	8.1748	9248500	0	9248500	8.1748	0	0.0000	2908792	31.4515	9248500	
	Sushma Gupta	1	7566550	0	0	7566550	6.6881	7566550	0	7566550	6.6881	0	0.0000	0	0.0000	7566550	
	Hemlata Aggarwal	1	5000000	0	0	5000000	4.4195	5000000	0	5000000	4.4195	0	0.0000	0	0.0000	5000000	
	Pranay Aggarwal	1	2720550	0	0	2720550	2.4047	2720550	0	2720550	2.4047	0	0.0000	0	0.0000	2720550	
	Ginni Devi	1	2200000	0	0	2200000	1.9446	2200000	0	2200000	1.9446	0	0.0000	0	0.0000	2200000	
	Himanshu Gupta	1	1000000	0	0	1000000	0.8839	1000000	0	1000000	0.8839	0	0.0000	0	0.0000	1000000	
	Madan Gopal Aggarwal	1	984000	0	0	984000	0.8698	984000	0	984000	0.8698	0	0.0000	0	0.0000	984000	
	Ajay Garg	1	841600	0	0	841600	0.7439	841600	0	841600	0.7439	0	0.0000	0	0.0000	841600	
	Damodar Krishan Aggarwal	1	781970	0	0	781970	0.6912	781970	0	781970	0.6912	0	0.0000	0	0.0000	781970	
	Anurag . Bansal	1	250000	0	0	250000	0.2210	250000	0	250000	0.2210	0	0.0000	0	0.0000	250000	
	Archana Aggarwal	1	30000	0	0	30000	0.0265	30000	0	30000	0.0265	0	0.0000	0	0.0000	30000	
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)	4	29786015	0	0	29786015	26.3280	29786015	0	29786015	26.3280	0	0.0000	5495860	18.4511	29786015	
	Bodies Corporate	4	29786015	0	0	29786015	26.3280	29786015	0	29786015	26.3280	0	0.0000	5495860	18.4511	29786015	
	Asm Pipes Private Limited	1	18667140	0	0	18667140	16.5000	18667140	0	18667140	16.5000	0	0.0000	0	0.0000	18667140	
	Pulin Investments Pvt. Ltd.	1	9277205	0	0	9277205	8.2002	9277205	0	9277205	8.2002	0	0.0000	5495860	59.2405	9277205	
	Jai Ambey Share Broking Ltd.	1	1616670	0	0	1616670	1.4290	1616670	0	1616670	1.4290	0	0.0000	0	0.0000	1616670	
	Smc Share Brokers Ltd.	1	225000	0	0	225000	0.1989	225000	0	225000	0.1989	0	0.0000	0	0.0000	225000	
	Sub Total (A)(1)	16	70504685	0	0	70504685	62.3194	70504685	0	70504685	62.3194	0	0.0000	11313445	16.0464	70504685	
2	Foreign																
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	16	70504685	0	0	70504685	62.3194	70504685	0	70504685	62.3194	0	0.0000	11313445	16.0464	70504685	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



SMC Global Securities Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+	(VIII) As a %	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b)	Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g)	Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Central Government/ State Government(s)/ President of India																	
	Central Government / State Government(s)	1	279020	0	0	279020	0.2466	279020	0	279020	0.2466	0	0.2466	0	0.0000	NA	NA	279020
	Sub Total (B)(2)	1	279020	0	0	279020	0.2466	279020	0	279020	0.2466	0	0.2466	0	0.0000	NA	NA	279020
3	Non-Institutions																	
(a)	Individuals		0	0									0			NA	NA	
	I. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1234	3510168	0	0	3510168	3.1027	3510168	0	3510168	3.1027	0	3.1027	0	0.0000	NA	NA	3012528
	II. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	1653542	0	0	1653542	1.4616	1653542	0	1653542	1.4616	0	1.4616	0	0.0000	NA	NA	1513542
(b)	NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Any Other (Specify)	114	37187035	0	0	37187035	32.8698	37187035	0	37187035	32.8698	0	32.8698	0	0.0000	NA	NA	29245345
	Hindu Undivided Family	64	707811	0	0	707811	0.6256	707811	0	707811	0.6256	0	0.6256	0	0.0000	NA	NA	705811
	Foreign Companies	2	9704690	0	0	9704690	8.5780	9704690	0	9704690	8.5780	0	8.5780	0	0.0000	NA	NA	1771000
	Millennium Investment And Acquisition Co Inc	1	8304690	0	0	8304690	7.3405	8304690	0	8304690	7.3405	0	7.3405	0	0.0000	NA	NA	371000
	Cresta Fund Ltd	1	1400000	0	0	1400000	1.2375	1400000	0	1400000	1.2375	0	1.2375	0	0.0000	NA	NA	1400000
	Non Resident Indians (Non Repat)	1	152500	0	0	152500	0.1348	152500	0	152500	0.1348	0	0.1348	0	0.0000	NA	NA	152500
	Non Resident Indians (Repat)	2	197500	0	0	197500	0.1746	197500	0	197500	0.1746	0	0.1746	0	0.0000	NA	NA	197500
	Bodies Corporate	45	26424534	0	0	26424534	23.3568	26424534	0	26424534	23.3568	0	23.3568	0	0.0000	NA	NA	26418534
	Signature Global (India) Pvt Limited	1	8865885	0	0	8865885	7.8366	8865885	0	8865885	7.8366	0	7.8366	0	0.0000	NA	NA	8865885
	Bennett, Coleman And Company Limited	1	4335390	0	0	4335390	3.8321	4335390	0	4335390	3.8321	0	3.8321	0	0.0000	NA	NA	4335390
	Excel Stock Broking Private Limited	1	2837747	0	0	2837747	2.5083	2837747	0	2837747	2.5083	0	2.5083	0	0.0000	NA	NA	2837747
	Sgs Udyog Pvt Ltd	1	1683530	0	0	1683530	1.4881	1683530	0	1683530	1.4881	0	1.4881	0	0.0000	NA	NA	1683530
	Signatureglobal Securities Private Limited	1	1674910	0	0	1674910	1.4805	1674910	0	1674910	1.4805	0	1.4805	0	0.0000	NA	NA	1674910
	Urja Capital Private Limited	1	1600000	0	0	1600000	1.4142	1600000	0	1600000	1.4142	0	1.4142	0	0.0000	NA	NA	1600000
	Agbros Polymers Llp	1	1568340	0	0	1568340	1.3863	1568340	0	1568340	1.3863	0	1.3863	0	0.0000	NA	NA	1568340
	Sub Total (B)(3)	1356	42350745	0	0	42350745	37.4340	42350745	0	42350745	37.4340	0	37.4340	0	0.0000	NA	NA	33771415
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	1357	42629765	0	0	42629765	37.6806	42629765	0	42629765	37.6806	0	37.6806	0	0.0000	NA	NA	34050435

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :07/01/2021

NSDL : 31/12/2020

CDSL



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :07/01/2021

NSDL : 31/12/2020

CDSL :31/12/2020



Table V-Statement showing details of significant beneficial owners (SBOs)

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

